

Docket No. 210314US2/ims



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Toshiaki SHINOHARA

SERIAL NO: 09/895,319

GAU: 2814

FILED: July 2, 2001

EXAMINER: D. P. NGUYEN

FOR: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

COPY

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached German Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

210314US2

SERIAL NO.

09/895,319

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Toshiaki SHINOHARA

COPY

FILING DATE

July 2, 2001

GROUP

2814

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,598,034	01/28/1997	G. F. WAKEFIELD, et al.		
	AB	5,317,194	05/31/1994	S. SAKO		
	AC	5,440,169	08/08/1995	Y. TOMITA, et al.		
	AD	5,986,336	11/16/1999	Y. TOMITA		
	AE	5,767,573	06/16/1998	S. NODA, et al.		
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	44 00 341	07/14/1994	GERMANY (with corr. US 5,440,169)		X
	AP	197 00 056	09/25/1997	GERMANY (with corr. US 5,986,336)		X
	AQ	196 25 240	04/30/1997	GERMANY (with corr. US 5,767,573)		X
	AR	11-243166	07/09/1999	JAPAN (with English Extract)		X
	AS	0 777 272	06/04/1997	EUROPE		
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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In the matter of the Application of: Toshiaki SHINOHARA

For: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING
SAME

Dept.: IP-I

By: MJS/ims

Due Date: 10/06/2004

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Information Disclosure Statement
- Cited References (10)
- GERMAN Office Action
- PTO-1449

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